

**NOTICE OF APPEAL FROM THE EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

ANZ-1200-UT2

In re Application of
Thomas W. Dubensky, Jr. et al.

Application Number
10/773,792

Filed
Feb. 6, 2004

For *Listeria attenuated for entry into non phagocytic cells, vaccines comprising the listeria, and methods of use thereof*

Signature /Kristen Lemme/

Typed or printed name Kristen Lemme

Art Unit	1645
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Examiner
Jennifer E. GRASER

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1)) \$ 540.00

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ 270.00
- ☐ A check in the amount of the fee is enclosed.
- ☒ Payment by credit card. ~~Form PTO-203 is required.~~ Payment via EFS-Web
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 504911.
- ☒ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

- | | |
|---|------------------------------|
| <input type="checkbox"/> applicant/inventor. | <u>Michael A. Whittaker/</u> |
| | <u>Signature</u> |
| <input type="checkbox"/> assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.
(Form PTO/SB/96) | <u>Michael A. Whittaker</u> |
| | <u>Typed or printed name</u> |
| <input checked="" type="checkbox"/> attorney or agent of record. <u>46230</u>
Registration number | <u>619-203-3186</u> |
| | <u>Telephone number</u> |
| <input type="checkbox"/> attorney or agent acting under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34. _____ | <u>5 October 2009</u> |
| | <u>Date</u> |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

- ☐ *Total of _____ forms are submitted

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 11.1, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.